

**UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF NEW JERSEY**

**RICHARD GOODMAN, individually
and as trustee of the Richard M.
Goodman revocable living trust, and
on behalf of all others similarly
situated,**

Plaintiff,

v.

UBS FINANCIAL SERVICES, INC.,

Defendants.

Civ. No. 21-18123 (KM) (MAH)

ORDER

THIS MATTER having come before the Court on the motion of Defendant UBS Financial Services, Inc.'s motion to dismiss the complaint (DE 13); and the Court having considered the submissions of the parties (DE 13, 16, 21) without oral argument pursuant to Fed. R. Civ. P. 78(b); for the reasons stated in the accompanying Opinion, and for good cause shown;

IT IS this 30th day of June 2022,

ORDERED that Defendant's motion to dismiss the complaint (DE 13) is **GRANTED in part and DENIED in part**. Specifically, the motion is **GRANTED** with regard to Counts 3, 4, 6, and 7 and otherwise **DENIED**.

/s/ Kevin McNulty

**Kevin McNulty
United States District Judge**